FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**OMB APPROVAL** 3235-0287 Estimated average burden

0.5

hours per response:

## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Griggs PC Nelson</u>						2. Issuer Name <b>and</b> Ticker or Trading Symbol NASDAQ, INC. [NDAQ]								Chec	k all app Direc	licable)	ng Person(s) to I 10% O Other (		vner
(Last) (First) (Middle) 151 W. 42ND STREET					3. Date of Earliest Transaction (Month/Day/Year) 07/01/2024									<b>V</b>	belov	below)  President, Capi		below)	
(Street) NEW YORK NY 10036					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indi Line)	<u>-,</u>				
(City) (State) (Zip)						Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day						Execu //Year) if any		Deemed cution Date, y nth/Day/Year)				s Acquired (A) o of (D) (Instr. 3, 4 a		nnd Securit Benefic Owned		ies cially Following	Form (D) o	n: Direct r Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership
									Code	v	Amount	(A) o	r Pric	e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock, par value \$0.01 per share 07/01/2					2024				<b>F</b> <sup>(1)</sup>		11,484	D	\$59	9.48	221	,563(2)		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	erivative   Conversion   Date   Execution Date   Courity   or Exercise   (Month/Day/Year)   if any		Execut if any	ion Date,	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Dat (Month/Day/Ye		ite	7. Title and Amount of Securities Underlying Derivative Security (Instr 3 and 4)		8. Price of Derivative Security (Instr. 5)		9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
				Code	v	V (A) (D)				Expiration Date	Title	Amount or Number of Shares							

## **Explanation of Responses:**

- 1. Represents the surrender of shares to pay withholding taxes in connection with vesting of equity previously granted under Nasdaq's Equity Incentive Plan.
- 2. Represents (i) 79,612 shares or units of restricted stock, of which 28,568 are vested and (ii) 141,951 shares underlying PSUs, all of which are vested.

/s/ Alex Kogan, by power of 07/02/2024 attorney

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.