SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Addre Griggs PC N		ng Person [*]		ssuer Name and Tic ASDAQ, INC				tionship of Reportir all applicable) Director	10% C	Owner		
(Last) 151 W. 42ND S	(First) STREET	(Middle)		Date of Earliest Trar /27/2022	nsaction (Mon	th/Day/Year)	X	Officer (give title below) Executive V	Other below) fice President			
(Street) NEW YORK (City)	NY (State)	10036 (Zip)	4. If	f Amendment, Date	of Original Fi	led (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One Form filed by Mo Person	e Reporting Per	son		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Date			: Transaction Date Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		

	(Month/Day/Year)	(Month/Day/Year)	8)					Owned Following Reported	(D) or indirect (I) (Instr. 4)	Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150. 4)
Common Stock, par value \$0.01 per share	04/27/2022		S		500	D	\$ 161.64 ⁽¹⁾	35,086	D	
Common Stock, par value \$0.01 per share	04/28/2022		S		1,000	D	\$162.53	34,086 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)				6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$161.60 to \$161.72, inclusive. The reporting person undertakes to provide to Nasdaq, any security holder of Nasdaq or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.

2. Represents (i) 7,196 shares or units of restricted stock, of which 565 are vested, and (ii) 26,890 shares underlying PSUs, all of which are vested.

Remarks:

<u>/s/ Alex Kogan, by power of</u>

attorney

04/29/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.