## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Peterson Bradley J			2. Issuer Name and T NASDAQ, INC			(Check	tionship of Reportir all applicable) Director Officer (give title	10% 0			
(Last) 151 W. 42ND S	(First)	(Middle)	3. Date of Earliest Tra 02/23/2022	ansaction (Mor	th/Day/Year)	X	below)	below vice President	)		
(Street) NEW YORK NY 10036			4. If Amendment, Dat	e of Original F	led (Month/Day/Year)	6. Indiv Line) X	X Form filed by One Reporting Person				
(City)	(State)	(Zip)	-				Form filed by Mo Person	re than One Re	porting		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1 Title of Security	(Instr 3)	2. Transacti	on 2A. Deemed	3.	4. Securities Acquired (A) o	r	5. Amount of	6. Ownership	7. Nature		

1. Title of	Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	te, Transaction Code (Instr. ear) 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
						Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common	1 Stock, par value \$0.01	02/23/2022		<b>A</b> <sup>(1)</sup>		40,722	Α	\$0.00	57,206	D	
Common	n Stock, par value \$0.01	02/23/2022		<b>F</b> <sup>(2)</sup>		17,190	D	\$168.19	40,016	D	
Common	n Stock, par value \$0.01	02/24/2022		<b>S</b> <sup>(3)</sup>		7,500	D	<b>\$163.79</b> <sup>(4)</sup>	32,516 <sup>(5)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		7. Titl Amou Secur Under Deriva Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### Explanation of Responses:

1. Represents the settlement of performance share units (PSUs) that were previously granted under Nasdaq's Equity Incentive Plan. The ultimate amount of shares to be received under the grant depended upon the achievement of performance goals during a three-year performance period from January 1, 2019 through December 31, 2021.

2. Represents the surrender of shares to pay withholding taxes in connection with the settlement of PSUs, as described above.

3. The reported sale was effected pursuant to a Rule 10b5-1 trading plan.

4. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$161.06 to \$166.39, inclusive. The reporting person undertakes to provide to Nasdaq, any security holder of Nasdaq or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (4) to this Form 4.

5. Represents (i) 11,587 shares or units of restricted stock, of which 5,167 are vested, (ii) 19,741 shares underlying PSUs, all of which are vested and (iii) 1,188 shares purchased under the Employee Stock Purchase Plan

#### Remarks:



02/25/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

