



Jordan & Jordan Chooses NASDAQ OMX FinQloud to Host Their Execution Compliance & Surveillance Service

Optimizes Surveillance Reliability, Redundancy and Scalability While Lowering Expenses

NEW YORK, July 31, 2013 (GLOBE NEWSWIRE) -- Jordan & Jordan (J&J), which provides securities industry domain expertise in practices such as management consulting and compliance, market data, and other industry solutions, today announced that their Execution Compliance & Surveillance (ECS) service is now running on NASDAQ OMX FinQloud, a secure, cloud computing platform designed exclusively for the financial services sector and powered by Amazon Web Services (AWS). J&J's ECS is an application used by broker-dealers to measure the effectiveness of their trading programs and execution strategies in meeting best execution obligations and rules.

The ECS migration to FinQloud provides J&J the ability to easily expand the application's analysis capabilities and scale data storage in a cost-efficient manner without sacrificing speed or reliability. FinQloud will be able to host J&J's ECS as it manages large volumes of trade and quote data, provides storage and retrieval of full reports and individual records, and allows read-only access for inquiries and auditing. The resources required to power the analysis tools offered through J&J's ECS increase along with transaction volume and regulatory surveillance requirements. J&J's ECS will use FinQloud to significantly reduce the costs associated with traditional, dedicated infrastructure deployment. As a service operated within FinQloud, J&J's ECS will enable customers to analyze their stored trade data without maintenance costs associated with daily data transfers.

"Compliance needs are increasing as is the use of cloud services, and this is an exciting match of needs and solutions," said Tom Jordan, Chief Executive Officer of J&J. "We believe this relationship with FinQloud will allow J&J and our clients to reach new levels of reliability, redundancy and scalability while continuing to demonstrate our commitment to ensure regulatory compliance. In addition, the FinQloud ecosystem provides new opportunities for J&J to enhance the range of services offered to ECS customers and enables easy access to our services for other FinQloud customers."

"We are pleased that J&J decided to use FinQloud to optimize their compliance offering for broker-dealers. The financial services community is readily adopting scalable tools which decrease operational expenses, considering transaction volumes and regulatory requirements," said Stacie Swanstrom, Head of Access Services at NASDAQ OMX. "The ecosystem we are fostering within FinQloud paves the way for our industry to build, provision, and manage mission critical applications for the future."

In February 2013, J&J completed an independent assessment of FinQloud's R3 data storage solution which confirmed that it provides broker-dealers with a best-of-breed tool to meet the stringent record keeping requirements of SEC Rule 17a-4(f) and CFTC Regulation 1.31(b).

About J&J:

Jordan & Jordan provides securities industry domain expertise through three practices: Management Consulting & Compliance, Market Data, and Industry Solutions. The Management Consulting division focuses on strategic endeavors as well as the business and operational impacts of organizational, technology, or regulatory changes. The Compliance practice provides Software as a Service to assess regulatory compliance and performs assessments of compliance with ever-changing regulations. The Market Data practice specializes in the commercial aspects of market data. Through the Industry Solutions practice, Jordan & Jordan manages the FIF (Financial Information Forum), FPL (FIX Protocol Limited) and RIXML (Research Information Exchange Markup Language). The Company is headquartered in New York with offices in Chicago and London.

Our clients include buy and sell-side firms, information vendors, industry associations, as well as international stock and futures exchanges. To learn more about J&J and ECS, visit www.jandj.com/ecs/ or email michael.oconor@jandj.com.

About FinQloud:

NASDAQ OMX FinQloud, powered by Amazon Web Services, is a secure, cloud computing platform designed exclusively for the financial services sector. FinQloud combines AWS cloud computing expertise with enhanced security from NASDAQ OMX to provide cost-effective and efficient management, storage and processing of financial data. FinQloud helps firms significantly reduce the operational costs and complexities associated with data and infrastructure management — enabling more effective deployment of scarce resources.

A product of NASDAQ OMX's unique financial technology domain expertise and Amazon's secure, flexible and cost-effective cloud infrastructure, FinQloud enables financial market participants to benefit from the economic and performance advantages

of cloud computing, in a manner designed to comply with regulatory and security requirements. Flexible, reliable and highly scalable, FinQloud is fully-managed and designed to immediately help firms reduce their technology burden, aid in compliance and refocus resources on revenue driving activities, even as data volumes and regulatory initiatives continually rise. To learn more visit www.nasdaqomx.com/FinQloud or contact FinQloud@nasdaqomx.com

About NASDAQ OMX:

The inventor of the electronic exchange, The NASDAQ OMX Group, Inc., fuels economies and provides transformative technologies for the entire lifecycle of a trade - from risk management to trade to surveillance to clearing. In the U.S. and Europe, we own and operate 24 markets, 3 clearinghouses and 5 central securities depositories supporting equities, options, fixed income, derivatives, commodities, futures and structured products. Able to process more than 1 million messages per second at sub-40 microsecond speeds with 99.999% uptime, our technology drives more than 70 marketplaces in 50 developed and emerging countries into the future, powering 1 in 10 of the world's securities transactions. Our award-winning data products and worldwide indexes are the benchmarks in the financial industry. Home to approximately 3,400 listed companies worth \$6 trillion in market cap whose innovations shape our world, we give the ideas of tomorrow access to capital today. Welcome to where the world takes a big leap forward, daily. Welcome to the NASDAQ OMX Century. To learn more, visit nasdaqomx.com. Follow us on Facebook (<http://www.facebook.com/NASDAQ>) and Twitter (<http://www.twitter.com/nasdaqomx>). (Symbol: NDAQ and member of S&P 500)

Cautionary Note Regarding Forward-Looking Statements

The matters described herein contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about FinQloud and NASDAQ OMX's other products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-looking statements involve a number of risks, uncertainties or other factors beyond NASDAQ OMX's control. These factors include, but are not limited to factors detailed in NASDAQ OMX's annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.

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