# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K
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CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 26, 2016 (October 20, 2016)

# Nasdaq, Inc.

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 000-32651 (Commission File Number) 52-1165937 (I.R.S. Employer Identification No.)

One Liberty Plaza, New York, New York (Address of principal executive offices)

10006 (Zip code)

Registrant's telephone number, including area code: +1 212 401 8700

No change since last report (Former Name or Former Address, if Changed Since Last Report)

follo	Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the wing provisions:
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On October 20, 2016, Nasdaq's management compensation committee and board of directors approved the terms of an amendment to Hans-Ole Jochumsen's existing employment agreement to reflect Mr. Jochumsen's transition into the Vice Chairman role from January 1, 2017 through January 1, 2018, at which point his employment agreement will expire unless there is mutual agreement to extend the term. As Vice Chairman, Mr. Jochumsen will receive an annual base salary of \$500,000 and will be eligible for an annual cash incentive award with a target value of \$500,000. In 2017, Mr. Jochumsen will be eligible to receive an annual equity grant with a target value of \$750,000. Consistent with the terms of his employment agreement, Mr. Jochumsen will receive continued vesting of his outstanding equity grants, based on actual performance during the respective performance periods, upon expiration of his agreement.

A copy of the press release announcing Mr. Jochumsen's transition into the Vice Chairman role is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

## Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. Exhibit Description

99.1 Press release dated October 24, 2016.

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the

undersigned hereunto duly authorized.

Dated: October 26, 2016	Nasdaq, Inc.	
	By:	/s/ Edward S. Knight
		Edward S. Knight Executive Vice President and General Counsel

### Nasdaq Names Hans-Ole Jochumsen Vice Chairman

#### Will work with Nasdaq's European teams to deepen relationships across the continent

NEW YORK, Oct. 24, 2016 (GLOBE NEWSWIRE) — Nasdaq (Nasdaq:NDAQ) today announced that Hans-Ole Jochumsen has been named Vice Chairman, Nasdaq, effective January 1, 2017. Jochumsen currently serves as President of Nasdaq, responsible for Global Trading and Market Services, which comprises all transactions, clearing, and settlement services, including equities, equity derivatives, fixed income, currency and commodities.

In his new role as Vice Chairman, Jochumsen will work closely across all of Nasdaq's European teams to assist in deepening relationships with trading and listing clients, regulators, and prospects to drive the company's mission, which is to provide leading trading and technology solutions to help businesses and investors succeed in the capital markets.

"Our continued focus on the success of our clients has been a driver of Nasdaq's growth, whether it's delivering our technology to more than 85 exchanges and regulators, or providing reliable and innovative trading solutions for the US and Nordic capital markets," said Bob Greifeld, CEO, Nasdaq. "Hans-Ole has been an instrumental part of our leadership team during the past eight years, and he will continue to focus on evolving our European businesses through best-in-class product development, industry partnerships, and collaboration with clients."

Since joining Nasdaq in 2008 through the merger with OMX, Jochumsen has played an instrumental role in key projects, including acquiring Nord Pool, a leader in Europe's power market; launching First North, the Nordic venture exchange; and, increasing trading activity in Nordic equity, fixed income, and clearing businesses. Since becoming President two years ago, Jochumsen has furthered Nasdaq's business objectives by leading the acquisitions of Chi-X Canada and International Securities Exchange (ISE), and by overseeing the launch of NFX, Nasdaq's U.S. commodities exchange. Overall, the businesses under Jochumsen's direction make up 40 percent of Nasdaq net income and operating margins have grown nearly 25 percent since 2012.

"I am grateful and honored to have played a role in the growth of Nasdaq since joining in 2008," said Jochumsen. "My new role further provides me the opportunity to continue to work closely with clients and regulators across all of Nasdaq's businesses across Europe. Nasdaq's European businesses are strategically vital to the company and I believe our role in these local markets, and in the fintech space, will have a positive impact on the global investment community and well-functioning capital markets."

Jochumsen joined Nasdaq in 2008, after having run the Copenhagen exchange from 1998 until the merger with OMX and the subsequent merger with Nasdaq. Prior to that, Jochumsen spent more than two decades in Copenhagen, in various roles at BG Bank, GiroBank A/S, and BRFkredit. He also served as Chair to the European Federation of Exchanges from 2010 until 2013.

#### **About Nasdaq:**

Nasdaq (Nasdaq:NDAQ) is a leading provider of trading, clearing, exchange technology, listing, information and public company services across six continents. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets. As the creator of the world's first electronic stock market, its technology powers more than 70 marketplaces in 50 countries, and 1 in 10 of the world's securities transactions. Nasdaq is home to more than 3,700 listed companies with a market value of \$10.0 trillion and approximately 18,000 corporate clients. To learn more, visit: nasdaq.com/ambition or business.nasdaq.com.

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