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The Nasdaq Stock Market® Welcomes 14 New ETPs in the Second Quarter of 2017

NEW YORK, July 25, 2017 (GLOBE NEWSWIRE) -- Nasdaq (Nasdaq:NDAQ), the single largest U.S. equity exchange by market share, announced 14 new exchange-traded product (ETP) listings from five issuers during the second quarter of 2017. Nasdaq now has a total of 348 products listed from 39 issuers as of June 30, 2017. Overall, Nasdaq listed ETPs gained more than \$20B in new assets between the first quarter and second quarter of 2017, of which, \$1B in asset growth can be attributed to 2016's newly listed products.

"As new products come to market, we continue to see excitement around the evolution and value of ETP technology and are dedicated to supporting issuers and the market making community through transparency and innovation," said Paul Roland, Head of U.S. ETP Listings at Nasdaq. "Our focus remains firm that we want to be at the forefront supporting ETP growth through our commitment to improve the overall ecosystem across both regulatory and market structure initiatives."

Second Quarter Nasdaq ETP Listing Highlights

Nasdaq was selected as the exchange of choice for 14 new ETP launches:

- <u>Victory Capital</u> launched a fund that tracks the performance of the Nasdaq Victory US Multi-Factor Minimum Volatility Index; the fund began trading on June 22:
 - VictoryShares US Multi-Factor Minimum Volatility ETF (Nasdaq:VSMV)
- First Trust launched six funds that use smart-beta strategies; the funds began trading on June 21:
 - Developed International Equity Select ETF (Nasdaq:RNDM)
 - Emerging Markets Equity Select ETF (Nasdaq:RNEM)
 - Large Cap US Equity Select ETF (Nasdaq:RNLC)
 - Mid Cap US Equity Select ETF (Nasdaq:RNMC)
 - Small Cap US Equity Select ETF (Nasdaq:RNSC)
 - US Equity Dividend Select ETF (Nasdaq:RNDV)
- First Trust launched an actively managed fund that that seeks to provide federal and California tax-exempt income; the fund began trading on June 21:
- First Trust California Municipal High Income ETF (Nasdaq:FCAL)
- Legg Mason launched two actively managed, environmental, social and governance ("ESG") focused ETFs subadvised by ClearBridge Investments; the funds began trading on May 23:
 - ClearBridge Dividend Strategy ESG ETF (Nasdaq:YLDE)
 - ClearBridge Large Cap Growth ESG ETF (Nasdaq:LRGE)
- IndexIQ launched a fund that tracks the price and yield performance of the NASDAQ Chaikin Power U.S. Small Cap Index; the fund began trading on May 16:
 - IQ Chaikin U.S. Small-Cap ETF (Nasdaq:CSML)
- Legg Mason launched an actively managed fund with ClearBridge Investments that invests across market capitalizations for diverse exposure to growth equities; the fund began trading on May 4:
- ClearBridge All Cap Growth (Nasdaq:CACG)
- <u>Credit Suisse</u> launched an exchange traded note (ETN) that tracks the Credit Suisse Nasdaq WTI Crude Oil FLOWSTM 106 Index: the fund began trading on April 26:
 - Credit Suisse X-Links Crude Oil Shares Covered Call ETN (Nasdag:USOI)
- Victory Capital launched a fund that tracks the performance of the Nasdaq Victory Dividend Accelerator Index; the fund began trading on April 18:
- VictoryShares Dividend Accelerator ETF (Nasdaq:VSDA)

As part of ongoing efforts to improve the listing experience for all ETPs, Nasdaq has re-launched the <u>Nasdaq Listing Center</u> <u>ETP webpage</u>. This site includes useful links, FAQs, guides and more information on initial and continued listing ETP standards on Nasdaq.

About Nasdaq:

Nasdaq (Nasdaq:NDAQ) is a leading global provider of trading, clearing, exchange technology, listing, information and public company services. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for

navigating today's global capital markets. As the creator of the world's first electronic stock market, its technology powers more than 90 marketplaces in 50 countries, and 1 in 10 of the world's securities transactions. Nasdaq is home to 3,800 total listings with a market value of \$11 trillion. To learn more, visit: <u>http://business.nasdaq.com</u>

Cautionary Note Regarding Forward-Looking Statements

The matters described herein contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about Nasdaq and its products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-looking statements involve a number of risks, uncertainties or other factors beyond Nasdaq's control. These factors include, but are not limited to factors detailed in Nasdaq's annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.

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