



## NASDAQ OMX to Provide UK Financial Services Authority (FSA) With SMARTS Integrity to Enhance Market Surveillance Capabilities

NEW YORK, Sept. 10, 2012 (GLOBE NEWSWIRE) -- The NASDAQ OMX Group, Inc. (Nasdaq:NDAQ) and the Financial Services Authority (FSA), an independent body that regulates the financial services industry in the United Kingdom (UK), today announced that the FSA has selected NASDAQ OMX's SMARTS Integrity market surveillance system to further enhance the FSA's monitoring of transaction reports across the UK's financial markets.

SMARTS Integrity will provide the FSA with a surveillance platform for the detection of market abuse across financial instruments admitted to trading on regulated or prescribed markets including any underlying derivatives. SMARTS Integrity will also afford the FSA with a platform that is scalable and extensible to meet future European legislation such as the Markets in Financial Instruments Regulation (MiFIR) and Market Abuse Regulation (MAR).

Paul McKeown, Vice President, Market Technology at NASDAQ OMX, said: "We are thrilled to be supporting the FSA in their regulatory endeavors. This new partnership marks an important achievement for our SMARTS Integrity product in the European marketplace, with more than 55 percent of all European equity trading now being monitored through our software. As regulatory issues and requirements continue to evolve around the world, we look forward to working with other regulators like the FSA to investigate market abuse through our best-in-class systems for market surveillance."

### About NASDAQ OMX:

The inventor of the electronic exchange, The NASDAQ OMX Group, Inc., fuels economies and provides transformative technologies for the entire lifecycle of a trade - from risk management to trade to surveillance to clearing. In the U.S. and Europe, we own and operate 24 markets, 3 clearinghouses and 5 central securities depositories supporting equities, options, fixed income, derivatives, commodities, futures and structured products. Able to process more than 1 million messages per second at sub-40 microsecond speeds with 99.999% uptime, our technology drives more than 70 marketplaces in 50 developed and emerging countries into the future, powering 1 in 10 of the world's securities transactions. Our award-winning data products and worldwide indexes are the benchmarks in the financial industry. Home to approximately 3,400 listed companies worth \$6 trillion in market cap whose innovations shape our world, we give the ideas of tomorrow access to capital today. Welcome to where the world takes a big leap forward, daily. Welcome to the NASDAQ OMX Century. To learn more, visit [www.nasdaqomx.com](http://www.nasdaqomx.com). Follow us on Facebook (<http://www.facebook.com/NASDAQ>) and Twitter (<http://www.twitter.com/nasdaqomx>). (Symbol: NDAQ and member of S&P 500)

### Cautionary Note Regarding Forward-Looking Statements

*The matters described herein contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about SMARTS Integrity and NASDAQ OMX's other products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-looking statements involve a number of risks, uncertainties or other factors beyond NASDAQ OMX's control. These factors include, but are not limited to factors detailed in NASDAQ OMX's annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.*

### NDAQG

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