Nasdaq Wins Three Waters Technology Buy-side Technology Awards

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Wins for best overall buy-side product, buy-side market surveillance tool/platform and buy-side AI platform/tool of the year

STOCKHOLM, Sweden, Nov. 06, 2018 (GLOBE NEWSWIRE) -- Nasdaq, Inc. (Nasdaq: NDAQ) was presented with three awards at Waters Technology's annual Buy-Side Technology Awards ceremony in London. The wins, which focused on the Nasdaq Buy-Side Compliance solution, were for the following categories: best overall buy-side product, best buy-side market surveillance tool/platform and best buy-side artificial intelligence (AI) platform/tool of the year.

"Nasdaq Buy-side Compliance was created specifically for asset managers and hedge funds with the behavioral profiling algorithms needed to effectively address major regulatory requirements," said Valerie Bannert-Thurner, SVP and Head of Risk & Surveillance Solutions, Nasdaq. "We have focused our investments to pioneer using behavioral science methodologies to deconstruct tasks, incentives, and constraints in portfolio management. We are very proud of this recognition and the progress in making the capital markets safer for investors."

The Nasdaq Buy-side Compliance's behavioral analytics model applies algorithms to individual decision making to provide a holistic behavioral analysis of market abuse, and to more accurately detect cases of market manipulation and insider trading. The solution uses modern technology to enhance business processes across buy-side compliance and surveillance, learning the 'normal' trading patterns of organizations, accounts, and individuals. The behavioral heat score highlights suspicious trading patterns, drastically reducing false positives and helping compliance teams to focus their time and effort on truly relevant behaviors and allowing more time for investigation.

Nasdaq's suite of risk & surveillance solutions have been the industry benchmark for real-time, cross-asset surveillance for 22 years, powering surveillance and compliance technology for more than 150 market participants on the sell-side and buy-side.

About Nasdaq

Nasdaq (Nasdaq: NDAQ) is a leading global provider of trading, clearing, exchange technology, listing, information and public company services. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets. As the creator of the world's first electronic stock market, its technology powers more than 100 marketplaces in 50 countries, and 1 in 10 of the world's securities transactions. Nasdaq is home to over 4,000 total listings with a market value of approximately $15 trillion. To learn more, visit business.nasdaq.com.

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