FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| O.C. 20549 | OMB APPROVAL |
|------------|--------------|
|            |              |

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## Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>AUST BRUCE</u> |  |  |  |  |                                 | 2. Issuer Name and Ticker or Trading Symbol NASDAQ OMX GROUP, INC. [ NDAQ ] |   |   |                                    |  |                       |   |  | Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director |   |   |   |             |                                       |
|--|--|--|--|--|---------------------------------|---|---|---|------------------------------------|--|-----------------------|---|--|---|---|---|---|-------------|---------------------------------------|
| (Last) (First) (Middle) ONE LIBERTY PLAZA                  |  |  |  |  |                                 | 3. Date of Earliest Transaction (Month/Day/Year) 07/25/2014                 |   |   |                                    |  |                       |   |  | X Officer (give title Other (specify below)  Executive Vice President             |   |   |   |             | specily                               |
| (Street) NEW YORK NY 10006                                 |  |  | 4. If  | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |                                 |   |   |   |                                    |  |                       | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting |  |   |   |   | on  |             |                                       |
| (City) (State) (Zip)                                       |  |  |  |  |                                 |   |   |   |                                    |  |                       | Person  |  |   |   |   |   |             |                                       |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |  |  |                                 |   |   |   |                                    |  |                       |   |  |   |   |   |   |             |                                       |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da |  |  |  | Executive Execut |                                 | Deemed<br>ecution Date,<br>any<br>onth/Day/Year)                            |   | 3.<br>Transaction<br>Code (Instr.<br>8) |                                    | 4. Securities Acquired (A) o<br>Disposed Of (D) (Instr. 3, 4 a |                       | id 5)   | Securitie<br>Beneficia<br>Owned F          | eficially<br>ned Following  |   | vnership<br>n: Direct<br>r Indirect<br>nstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |             |                                       |
|  |  |  |  |  |                                 |   |   |   | Code                               | v  | Amount                | (A) or<br>(D)   | Price                                      | Reported Transaction(s) (Instr. 3 and 4)  |   |   |   | (111501. 4) |                                       |
| Common   | ommon Stock, par value \$0.01 per share  |  | share  | 07/25  | 07/25/2014                      |   |   |   | M                                  |  | 25,210                | A   | \$19                                       | .75   | 123   | 3,949   |   | D           |                                       |
| Common Stock, par value \$0.01 per share                   |  | 07/25                                      | 5/2014                                       |  |                                 |   | S   |   | 25,210                             | D  | \$42.                 | 17(1)   | 98,7                                       | 98,739(2)   |   | D   |   |             |                                       |
|  |  | -  | Table II -                                   |  |                                 |   |   |   |                                    |  | osed of,<br>convertil |   |  |   | wned  |   |   |             |                                       |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)        | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security            | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deem<br>Execution<br>if any<br>(Month/Da | Date,  | 4.<br>Transac<br>Code (li<br>8) |   | of<br>Deri<br>Seci<br>Acq<br>(A) o<br>Disp<br>of (E | vative<br>urities<br>uired              | 6. Date E<br>Expiratio<br>(Month/I | n Dat  |                       | of Securiti<br>Underlying   | e and Amount curities lying ative Security |   | Derivative Security (Instr. 5)  Owned Followin Reporter |   | Following<br>Reported<br>Transaction(s)                           |             | Beneficial<br>Ownership<br>(Instr. 4) |
|  |  |  |  |  | Code                            | v   | (A)   |   | Date<br>Exercisa                   |  | Expiration<br>Date    | Title   | Amour<br>or<br>Number<br>of<br>Shares      | er  |   |   |   |             |                                       |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)           | \$19.75  | 07/25/2014                                 |  |  | M                               |   |   | 25,210                                  | (3)                                |  | 03/04/2020            | Common<br>Stock   | 25,21                                      | 10  | \$0   | 0   |   | D           |                                       |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)           | \$25.07  |  |  |  |                                 |   |   |   | (3)                                |  | 12/17/2018            | Common<br>Stock   | 45,52                                      | 28  |   | 45,528  | 3   | D           |                                       |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)           | \$25.28  |  |  |  |                                 |   |   |   | (3)                                |  | 03/28/2021            | Common<br>Stock   | 25,49                                      | 96  |   | 25,496  | 5   | D           |                                       |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)           | \$35.92  |  |  |  |                                 |   |   |   | (3)                                |  | 12/13/2016            | Common<br>Stock   | 32,55                                      | 58  |   | 32,558  | 3   | D           |                                       |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)           | \$45.38  |  |  |  |                                 |   |   |   | (3)                                |  | 12/12/2017            | Common<br>Stock   | 22,56                                      | 54  |   | 22,564  | 4   | D           |                                       |

## **Explanation of Responses:**

- 1. The price reported in this box is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$42.00 to \$42.37, inclusive. The reporting person undertakes to provide to The NASDAQ OMX Group, Inc., any security holder of The NASDAQ OMX Group, Inc. or the staff of the U.S. Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1) to this Form 4.
- 2. Represents (i) 32,274 vested shares of restricted stock, (ii) 59,640 shares underlying PSUs, of which 45,399 shares are vested and (iii) 6,825 shares purchased under the Employee Stock Purchase Plan.
- 3. Options exercisable.

/s/ Edward S. Knight, by power 07/29/2014 of attorney

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.