## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

## OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person <sup>*</sup> AUST BRUCE						2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NASDAQ OMX GROUP, INC.</u> [NDAQ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) ONE LIBERTY PLAZA						3. Date of Earliest Transaction (Month/Day/Year) 12/31/2013									X Officer (give title Other (specify below) below) Executive Vice President					
(Street) NEW YORK NY 10006 					4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					'n	
(City)	(S			tive Securities Acquired, Disposed of, or Benefic									aially Oumad							
Iable I - Non-Deriva       1. Title of Security (Instr. 3)       2. Transa Date (Month/D)						n : 'ear) i	A. Deemed Execution Date, f any Month/Day/Year)		te, 3. Code (I	4. Secu		urities Acquired (A) of sed Of (D) (Instr. 3, 4		) or	5. Amou Securitie Beneficia Owned F Reported	nt of es ally Following	Form (D) o	n: Direct r Indirect Istr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount (D		<u> </u>	rice	Transact (Instr. 3 a	tion(s) and 4)			(Instr. 4)	
Common Stock, par value \$0.01 per share 12/31.							/2013				16,6	50 D \$		\$39.8	.8 123,144 <sup>(2)</sup>			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date,			4. Transaction Code (Instr. 8)		of Ex		Date Exercisable and biration Date onth/Day/Year)		7. Title and Amour of Securities Underlying Derivative Securit (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisable	Exp Dat	oiration e	Title	Amou or Numb of Sha	er						
Employee Stock Option (Right to Buy)	\$7.35								(3)	11/	15/2014	Common Stock	120,	000		120,00	00	D		
Employee Stock Option (Right to Buy)	\$19.75								(4)	03/	04/2020	Common Stock	25,2	210		25,21	0	D		
Employee Stock Option (Right to Buy)	\$25.07								(5)	12/	17/2018	Common Stock	45,5	528		45,52	8	D		
Employee Stock Option (Right to Buy)	\$25.28								(6)	03/	28/2021	Common Stock	25,4	196		25,49	6	D		
Employee Stock Option (Right to Buy)	\$35.92								(7)	12/	13/2016	Common Stock	32,5	58		32,55	8	D		
Employee Stock Option (Right to Buy)	\$45.38								(8)	12/	12/2017	Common Stock	22,5	564		22,56	4	D		

Explanation of Responses:

1. The transactions reported on this Form 4 consist of surrenders of shares to pay withholding taxes in connection with vestings of equity previously granted under NASDAQ OMX's Equity Incentive Plan.

2. Represents (i) 32,274 vested shares of restricted stock, (ii) 84,683 shares underlying PSUs, of which 45,399 shares are vested and (iii) 6,187 shares purchased under the Employee Stock Purchase Plan.

3. Options exercisable.

4. Options exercisable on March 4, 2014.

5. Options exercisable.

6. Options exercisable on March 28, 2014.

7. Options exercisable.

8. Options exercisable.

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.