



## NASDAQ OMX Launches SMARTS Broker for Trade Monitoring on the London Metal Exchange With Newedge

NEW YORK and LONDON, Dec. 17, 2012 (GLOBE NEWSWIRE) -- The NASDAQ OMX Group, Inc. (Nasdaq:NDAQ) announced the launch of SMARTS Broker market surveillance solution for commodities trading on the London Metals Exchange (LME). The launch includes the go-live of two global broker-dealer customers, including the global multi-asset brokerage Newedge.

The SMARTS Broker surveillance solution gives brokers the ability to monitor, identify and flag trading activity across the three LME trading venues - Select, Phone and Ring. SMARTS Broker has customized its data structure to work with the unique setup of the LME market, facilitating the setting of alert thresholds on a per venue basis, as well as giving broker-dealers the opportunity to generate granular and detailed visualizations of trading data on a per venue basis. Additionally, LME's unique daily prompt date attached to futures and spread contracts has been integrated, thereby enabling the setting of alert thresholds on the basis of specific prompt dates.

SMARTS Broker is now certified to connect to the LME select data drop copy, allowing connectivity to the trading member's order flow and trading executions. The SMARTS Broker service for commodity trading on LME can typically be set-up within a two-week time span.

"NASDAQ OMX is the market leader in delivering global cross market coverage with our SMARTS Broker offering. As commodities continue to become an area where greater compliance and regulatory focus is required, we are dedicated to being ahead of the curve in helping our customers respond to these changing requirements," said Paul McKeown, Vice President of Market Technology, NASDAQ OMX.

Installed in more than 40 national exchanges and regulators, and more than 70 market participants across 55 markets, SMARTS surveillance solutions are helping customers respond to the ever changing regulatory landscape.

### About NASDAQ OMX:

The inventor of the electronic exchange, The NASDAQ OMX Group, Inc., fuels economies and provides transformative technologies for the entire lifecycle of a trade - from risk management to trade to surveillance to clearing. In the U.S. and Europe, we own and operate 25 markets, 3 clearinghouses and 5 central securities depositories supporting equities, options, fixed income, derivatives, commodities, futures and structured products. Able to process more than 1 million messages per second at sub-40 microsecond speeds with 99.99% uptime, our technology drives more than 70 marketplaces in 50 developed and emerging countries into the future, powering 1 in 10 of the world's securities transactions. Our award-winning data products and worldwide indexes are the benchmarks in the financial industry. Home to approximately 3,400 listed companies worth \$6 trillion in market cap whose innovations shape our world, we give the ideas of tomorrow access to capital today. Welcome to where the world takes a big leap forward, daily. Welcome to the NASDAQ OMX Century. To learn more, visit [www.nasdaqomx.com](http://www.nasdaqomx.com). Follow us on Facebook (<http://www.facebook.com/NASDAQ>) and Twitter (<http://www.twitter.com/nasdaqomx>). (Symbol: NDAQ and member of S&P 500)

### Cautionary Note Regarding Forward-Looking Statements

*The matters described herein contain forward-looking statements that are made under the Safe Harbor provisions of the Private Securities Litigation Reform Act of 1995. These statements include, but are not limited to, statements about SMARTS Broker and NASDAQ OMX's other products and offerings. We caution that these statements are not guarantees of future performance. Actual results may differ materially from those expressed or implied in the forward-looking statements. Forward-looking statements involve a number of risks, uncertainties or other factors beyond NASDAQ OMX's control. These factors include, but are not limited to factors detailed in NASDAQ OMX's annual report on Form 10-K, and periodic reports filed with the U.S. Securities and Exchange Commission. We undertake no obligation to release any revisions to any forward-looking statements.*

### NASDAQ

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