FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden

0.5

hours per response:

Filed purcuant to Section 16(a) of the Securities Evolution Act of 1024

manuc	don I(b).			File							mpany Act			54			<u> </u>			
1. Name and Address of Reporting Person* <u>Ewing Anna M</u>							2. Issuer Name <b>and</b> Ticker or Trading Symbol NASDAQ OMX GROUP, INC. [ NDAQ ]									5. Relationship of Reporting Person(s) to Issu (Check all applicable) Director 10% Own				
(Last) (First) (Middle) ONE LIBERTY PLAZA						3. Date of Earliest Transaction (Month/Day/Year) 12/31/2012										elow)		e title Other (s below) tive Vice President		specify
(Street) NEW YORK NY 10006  (City) (State) (Zip)					4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)									ne) <mark>X</mark> F	ividual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
		Tab	le I - Non	-Deriv	ative	Sec	curiti	es A	cquired	l, Dis	posed	of, or	Ben	eficia	lly Ow	nec				
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)					Day/Year) i		2A. Deemed Execution Date, if any (Month/Day/Yea		Code (Instr.		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				1 and Securit Benefic Owned		es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership
									Code	v	Amount (A) or (D) Pri		Price	Reported Transact (Instr. 3 a		tion(s)			(Instr. 4)	
Common Stock, par value \$0.01 per share 12/31/2					/2012	2012			F <sup>(1)</sup>		28,08	28,083		\$24.	99	266,771(2)			D	
		Т	able II - D	Deriva e.g., p	tive S	Secu calls	rities s, wai	s Acc	quired, s, optic	Disp	osed of converti	, or E ible s	enei ecur	ficiall ities)	y Own	ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date,	4. Transa Code ( 8)		т т		6. Date Exercisal Expiration Date (Month/Day/Year			of Sec Under Deriva	7. Title and Amour of Securities Underlying Derivative Securit (Instr. 3 and 4)				9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4		Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		expiration pate	Title	0 N	Amount or Iumber of Shares						
Employee Stock Option (Right to Buy)	\$19.75								(3)		3/04/2020	Comm		34,664			34,664		D	
Employee Stock Option (Right to Buy)	\$25.07								(4)	1	2/17/2018	Comn		15,528			45,528		D	

## **Explanation of Responses:**

1. The transactions reported on this Form 4 consist of surrenders of shares to pay withholding taxes in connection with vestings of equity previously granted under NASDAQ OMX's Equity Incentive Plan.

(5)

(6)

(7)

- 2. Represents (i) 7,000 shares of common stock acquired upon exercise of vested stock options, (ii) 135,403 vested shares of restricted stock, (iii) 107,414 shares underlying PSUs, of which 48,257 shares are vested and (iv) 16,954 shares purchased under the Employee Stock Purchase Plan.
- 3. Options exercisable on March 4, 2014.

\$25.28

\$35.92

\$45.38

Employee Stock Option

(Right to Buy)

Employee Stock Option

(Right to Buy) Employee

Option (Right to

Buy)

- 4. Options exercisable.
- 5. Options exercisable on March 28, 2014.
- 6. Options exercisable.
- 7. Options exercisable.

/s/ Edward S. Knight, by power 01/03/2013 of attorney

\*\* Signature of Reporting Person

39,661

32,558

22,564

D

D

D

Stock

Common

Stock

Common

03/28/2021

12/13/2016

12/12/2017

39,661

32,558

22,564

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.